

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

CRAIG J. NEUVIRTH, )  
Plaintiff, ) No. CV-10-177-JPH  
v. ) ORDER GRANTING DEFENDANT'S  
MICHAEL J. ASTRUE, Commissioner ) MOTION FOR SUMMARY JUDGMENT  
of Social Security, )  
Defendant. )

BEFORE THE COURT are cross-motions for summary judgment noted for hearing without oral argument on June 24, 2011 (ECF No. 14, 16). Attorney Maureen J. Rosette represents plaintiff; Special Assistant United States Attorney Nancy A. Mishalanie represents the Commissioner of Social Security (Commissioner). The parties have consented to proceed before a magistrate judge (ECF No. 7). Plaintiff replied on June 20, 2011 (ECF No. 18). After reviewing the administrative record and the briefs filed by the parties, the court **grants** defendant's motion for summary judgment (**ECF No. 16**) and **denies** plaintiff's motion for summary judgment (ECF No. 14).

JURISDICTION

Plaintiff protectively applied for disability insurance benefits (DIB) on October 14, 2003, and for supplemental security

1 income (SSI) benefits on November 5, 2003, both alleging onset as  
 2 of January 1, 1993 due to anxiety, fear, anger, depression, and  
 3 right shoulder pain (Tr. 53-56, 369-371A). Onset was later changed  
 4 to May 1, 2003 (Tr. 425, 446). The applications were denied  
 5 initially and on reconsideration (Tr. 31-33, 36-37).  
 6 Administrative Law Judge (ALJ) R. J. Payne held the first hearing  
 7 on November 22, 2005 (Tr. 393-404). Plaintiff waived his right to  
 8 appear but was represented by counsel. Medical experts Ronald  
 9 Klein, Ph.D., and Robert Berselli, M.D., testified. On January 20,  
 10 2006, the ALJ issued a decision finding plaintiff is not disabled  
 11 (Tr. 18-27). The Appeals Council denied plaintiff's request for  
 12 review on May 18, 2006 (Tr. 5-7). Plaintiff filed a complaint  
 13 under cause number CV-06-0188-CI. On March 6, 2007, a magistrate  
 14 judge entered a decision granting plaintiff's summary judgment  
 15 motion and remanding the case to the agency for further  
 16 proceedings (Tr. 444-462).

17 Accordingly, the ALJ held a second hearing on February 8,  
 18 2008 (Tr. 602-630). Plaintiff, still represented, again waived  
 19 appearance at the hearing. Medical experts R. Thomas McKnight,  
 20 Ph.D., Anthony E. Francis, M.D., and a vocational expert  
 21 testified. The ALJ found plaintiff not disabled on February 21,  
 22 2008 (Tr. 424-442). The Appeals Council denied review on April 13,  
 23 2010 (Tr. 405-407). The ALJ's decision became the final decision  
 24 of the Commissioner, which is appealable to the district court  
 25 pursuant to 42 U.S.C. § 405(g). Plaintiff filed this action for  
 26 judicial review on June 4, 2010 (ECF No. 1,4).

27 **STATEMENT OF FACTS**

28 The facts have been presented in the administrative hearing

1 transcripts, the ALJ's decision, and the pleadings filed by the  
2 parties. They are briefly summarized here.

3 Plaintiff was 43 years old at onset in 2003. He graduated  
4 from high school and has worked as a laborer and telemarketer.

5 **SEQUENTIAL EVALUATION PROCESS**

6 The Social Security Act (the Act) defines disability as the  
7 "inability to engage in any substantial gainful activity by reason  
8 of any medically determinable physical or mental impairment which  
9 can be expected to result in death or which has lasted or can be  
10 expected to last for a continuous period of not less than twelve  
11 months." 42 U.S.C. §§ 423(d)(1)(A), 1382c(a)(3)(A). The Act also  
12 provides that a Plaintiff shall be determined to be under a  
13 disability only if any impairments are of such severity that a  
14 plaintiff is not only unable to do previous work but cannot,  
15 considering plaintiff's age, education and work experiences,  
16 engage in any other substantial gainful work which exists in the  
17 national economy. 42 U.S.C. §§ 423(d)(2)(A), 1382c(a)(3)(B).  
18 Thus, the definition of disability consists of both medical and  
19 vocational components. *Edlund v. Massanari*, 253 F.3d 1152, 1156  
20 (9<sup>th</sup> Cir. 2001).

21 The Commissioner has established a five-step sequential  
22 evaluation process for determining whether a person is disabled.  
23 20 C.F.R. §§ 404.1520, 416.920. Step one determines if the person  
24 is engaged in substantial gainful activities. If so, benefits are  
25 denied. 20 C.F.R. §§ 404.1520(a)(4)(i), 416.920(a)(4)(i). If not,  
26 the decision maker proceeds to step two, which determines whether  
27 plaintiff has a medically severe impairment or combination of  
28 impairments. 20 C.F.R. §§ 404.1520(a)(4)(ii), 416.920(a)(4)(ii).

If plaintiff does not have a severe impairment or combination of impairments, the disability claim is denied. If the impairment is severe, the evaluation proceeds to the third step, which compares plaintiff's impairment with a number of listed impairments acknowledged by the Commissioner to be so severe as to preclude substantial gainful activity. 20 C.F.R. §§ 404.1520(a)(4)(ii), 416.920(a)(4)(ii); 20 C.F.R. § 404 Subpt. P App. 1. If the impairment meets or equals one of the listed impairments, plaintiff is conclusively presumed to be disabled. If the impairment is not one conclusively presumed to be disabling, the evaluation proceeds to the fourth step, which determines whether the impairment prevents plaintiff from performing work which was performed in the past. If a plaintiff is able to perform previous work, that Plaintiff is deemed not disabled. 20 C.F.R. §§ 404.1520(a)(4)(iv), 416.920(a)(4)(iv). At this step, plaintiff's residual functional capacity (RFC) assessment is considered. If plaintiff cannot perform this work, the fifth and final step in the process determines whether plaintiff is able to perform other work in the national economy in view of plaintiff's residual functional capacity, age, education and past work experience. 20 C.F.R. §§ 404.1520(a)(4)(v), 416.920(a)(4)(v); *Bowen v. Yuckert*, 482 U.S. 137 (1987).

The initial burden of proof rests upon plaintiff to establish a *prima facie* case of entitlement to disability benefits.

*Rhinehart v. Finch*, 438 F.2d 920, 921 (9<sup>th</sup> Cir. 1971); *Meanel v. Apfel*, 172 F.3d 1111, 1113 (9<sup>th</sup> Cir. 1999). The initial burden is met once plaintiff establishes that a physical or mental impairment prevents the performance of previous work. The burden

1 then shifts, at step five, to the Commissioner to show that (1)  
 2 plaintiff can perform other substantial gainful activity and (2) a  
 3 "significant number of jobs exist in the national economy" which  
 4 plaintiff can perform. *Kail v. Heckler*, 722 F.2d 1496, 1498 (9<sup>th</sup>  
 5 Cir. 1984).

6 Plaintiff has the burden of showing that drug and alcohol  
 7 addiction (DAA) is not a contributing factor material to  
 8 disability. *Ball v. Massanari*, 254 F.3d 817, 823 (9<sup>th</sup> Cir. 2001).

9 The Social Security Act bars payment of benefits when drug  
 10 addiction and/or alcoholism is a contributing factor material to a  
 11 disability claim. 42 U.S.C. §§ 423 (d)(2)(C)and 1382(a)(3)(J);  
 12 *Bustamante v. Massanari*, 262 F.3d 949 (9<sup>th</sup> Cir. 2001); *Sousa v.*  
 13 *Callahan*, 143 F.3d 1240, 1245 (9<sup>th</sup> Cir. 1998). If there is  
 14 evidence of DAA and the individual succeeds in proving disability,  
 15 the Commissioner must determine whether DAA is material to the  
 16 determination of disability. 20 C.F.R. §§ 404.1535 and 416.935.  
 17 If an ALJ finds that the claimant is not disabled, then the  
 18 claimant is not entitled to benefits and there is no need to  
 19 proceed with the analysis to determine whether substance abuse is  
 20 a contributing factor material to disability. However, if the ALJ  
 21 finds that the claimant is disabled, then the ALJ must proceed to  
 22 determine if the claimant would be disabled if he or she stopped  
 23 using alcohol or drugs.

#### 24 STANDARD OF REVIEW

25 Congress has provided a limited scope of judicial review of a  
 26 Commissioner's decision. 42 U.S.C. § 405(g). A Court must uphold  
 27 the Commissioner's decision, made through an ALJ, when the  
 28 determination is not based on legal error and is supported by

1 substantial evidence. See *Jones v. Heckler*, 760 F.2d 993, 995 (9<sup>th</sup>  
 2 Cir. 1985); *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9<sup>th</sup> Cir. 1999).  
 3 "The [Commissioner's] determination that a plaintiff is not  
 4 disabled will be upheld if the findings of fact are supported by  
 5 substantial evidence." *Delgado v. Heckler*, 722 F.2d 570, 572 (9<sup>th</sup>  
 6 Cir. 1983)(citing 42 U.S.C. § 405(g)). Substantial evidence is  
 7 more than a mere scintilla, *Sorenson v. Weinberger*, 514 F.2d 1112,  
 8 1119 n. 10 (9<sup>th</sup> Cir. 1975), but less than a preponderance.  
 9 *McAllister v. Sullivan*, 888 F.2d 599, 601-602 (9<sup>th</sup> Cir. 1989);  
 10 *Desrosiers v. Secretary of Health and Human Services*, 846 F.2d  
 11 573, 576 (9<sup>th</sup> Cir. 1988). Substantial evidence "means such  
 12 evidence as a reasonable mind might accept as adequate to support  
 13 a conclusion." *Richardson v. Perales*, 402 U.S. 389, 401 (1971)  
 14 (citations omitted). "[S]uch inferences and conclusions as the  
 15 [Commissioner] may reasonably draw from the evidence" will also be  
 16 upheld. *Mark v. Celebrezze*, 348 F.2d 289, 293 (9<sup>th</sup> Cir. 1965). On  
 17 review, the Court considers the record as a whole, not just the  
 18 evidence supporting the decision of the Commissioner. *Weetman v.*  
 19 *Sullivan*, 877 F.2d 20, 22 (9<sup>th</sup> Cir. 1989)(quoting *Kornock v.*  
 20 *Harris*, 648 F.2d 525, 526 (9<sup>th</sup> Cir. 1980)).

21 It is the role of the trier of fact, not this Court, to  
 22 resolve conflicts in evidence. *Richardson*, 402 U.S. at 400. If  
 23 evidence supports more than one rational interpretation, the Court  
 24 may not substitute its judgment for that of the Commissioner.  
 25 *Tackett*, 180 F.3d at 1097; *Allen v. Heckler*, 749 F.2d 577, 579  
 26 (9<sup>th</sup> Cir. 1984). Nevertheless, a decision supported by substantial  
 27 evidence will still be set aside if the proper legal standards  
 28 were not applied in weighing the evidence and making the decision.

1 *Brawner v. Secretary of Health and Human Services*, 839 F.2d 432,  
 2 433 (9<sup>th</sup> Cir. 1987). Thus, if there is substantial evidence to  
 3 support the administrative findings, or if there is conflicting  
 4 evidence that will support a finding of either disability or  
 5 nondisability, the finding of the Commissioner is conclusive.  
 6 *Sprague v. Bowen*, 812 F.2d 1226, 1229-1230 (9<sup>th</sup> Cir. 1987).

7 **ALJ'S FINDINGS**

8 The ALJ found plaintiff was insured for DIB purposes through  
 9 March 31, 2005 (Tr. 425, 427). He found plaintiff is disabled when  
 10 DAA is included (Tr. 435); accordingly, he went on to perform the  
 11 second five step sequential evaluation as required<sup>1</sup>. The ALJ found  
 12 plaintiff is not disabled if he is clean and sober, i.e., if DAA  
 13 is excluded (Tr. 442). Because DAA materially contributes to the  
 14 disability determination, the ALJ found plaintiff is not disabled  
 15 as defined by the Social Security Act (Tr. 442).

16 **ISSUES**

17 Plaintiff alleges the ALJ improperly weighed the medical  
 18 evidence, including Mr. Davis's<sup>2</sup> opinion, and should have found at  
 19 step three Mr. Neuvirth's knee impairment meets or medically  
 20 equals a Listed impairment. He does not challenge the ALJ's  
 21 adverse credibility assessment.

22 Asserting the ALJ appropriately weighed the evidence and  
 23 assessed credibility, the Commissioner asks the Court to affirm  
 24 (ECF No. 17 at 9-10).

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26     27     <sup>1</sup>See C.F.R. §§ 404.1535 and 416.935.

28     <sup>2</sup>He is also referred to as John Davis Evans (Tr. 428).

## DISCUSSION

#### A. Weighing medical evidence

In social security proceedings, the claimant must prove the existence of a physical or mental impairment by providing medical evidence consisting of signs, symptoms, and laboratory findings; the claimant's own statement of symptoms alone will not suffice. 20 C.F.R. § 416.908. The effects of all symptoms must be evaluated on the basis of a medically determinable impairment which can be shown to be the cause of the symptoms. 20 C.F.R. § 416.929. Once medical evidence of an underlying impairment has been shown, medical findings are not required to support the alleged severity of symptoms. *Bunnell v. Sullivan*, 947 F.2d 341, 345 (9<sup>th</sup> Cir. 1991).

A treating physician's opinion is given special weight because of familiarity with the claimant and the claimant's physical condition. *Fair v. Bowen*, 885 F.2d 597, 604-05 (9<sup>th</sup> Cir. 1989). However, the treating physician's opinion is not "necessarily conclusive as to either a physical condition or the ultimate issue of disability." *Magallanes v. Bowen*, 881 F.2d 747, 751 (9<sup>th</sup> Cir. 1989)(citations omitted). More weight is given to a treating physician than an examining physician. *Lester v. Chater*, 81 F.3d 821, 830 (9<sup>th</sup> Cir. 1995). Correspondingly, more weight is given to the opinions of treating and examining physicians than to nonexamining physicians. *Benecke v. Barnhart*, 379 F.3d 587, 592 (9<sup>th</sup> Cir. 2004). If the treating or examining physician's opinions are not contradicted, they can be rejected only with clear and convincing reasons. *Lester*, 81 F.3d at 830. If contradicted, the ALJ may reject an opinion if he states specific, legitimate

1 reasons that are supported by substantial evidence. See *Flaten v.*  
 2 *Secretary of Health and Human Serv.*, 44 F.3d 1435, 1463 (9<sup>th</sup> Cir.  
 3 1995).

4 In addition to the testimony of a nonexamining medical  
 5 advisor, the ALJ must have other evidence to support a decision to  
 6 reject the opinion of a treating physician, such as laboratory  
 7 test results, contrary reports from examining physicians, and  
 8 testimony from the claimant that was inconsistent with the  
 9 treating physician's opinion. *Magallanes v. Bowen*, 881 F.2d 747,  
 10 751-52 (9<sup>th</sup> Cir. 1989); *Andrews v. Shalala*, 53 F.3d 1042-43 (9<sup>th</sup>  
 11 Cir. 1995).

12       I. *Mr. Davis's opinion*

13 First, plaintiff contends the ALJ should have credited the  
 14 September 2006 and July 2007 opinions of Mr. Davis, MHP, a  
 15 counselor at the VA who treated Mr. Neuvirth (ECF No. 15 at 12-  
 16 15). The Commissioner responds that a magistrate judge decided  
 17 this issue in the remand order, with respect to Mr. Davis's  
 18 November 2003 and December 2004 opinions, in the Commissioner's  
 19 favor. The magistrate judge found the ALJ was correct to discount  
 20 Mr. Davis's 2003 opinion<sup>3</sup> because as a non-acceptable medical  
 21 source the ALJ needed to and did provide a germane reason: Mr.  
 22 Davis's opinions are based on a diagnosis of polysubstance abuse  
 23 "in full remission" -- a diagnosis directly contradicted elsewhere  
 24 in the record (Tr. 454, 456). The Commissioner asserts because the  
 25 magistrate judge's ruling is now the law of the case, plaintiff is

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26  
 27       <sup>3</sup>The district court's order indicates Mr. Davis's December  
 28 2004 opinion was submitted to the Appeals Council but not to the  
 ALJ during the proceedings (Tr. 454 at n.5).

1 precluded from relitigating the issue (ECF No. 17 at 10-15).

2 As a general principal, the United States Supreme Court has  
 3 recognized that an administrative agency is bound on remand to  
 4 apply the legal principals laid down by the reviewing court.  
 5 *Ischay v. Barnhart*, 383 F.Supp.2d 1199, 1213-1214 (C.D. Cal.  
 6 2005), citing *F.C.C. v. Pottsville Broadcasting Co.*, 309 U.S. 134,  
 7 145 (1940); see also *United Gas Improvement Co., v. Continental*  
 8 *Oil Co.*, 381 U.S. 392, 406 (1965)(explaining that the agency must  
 9 act upon the court's correction on remand). The *Ischay* court  
 10 observes the Supreme Court more recently held

11 Where a court finds that the Secretary has  
 12 committed a legal or factual error in evaluating  
 13 a particular claim, the district court's remand  
 14 order will often include detailed instructions  
 15 concerning the scope of the remand, the evidence  
 16 to be adduced, and the legal or factual issues  
 17 to be addressed. Often, complex legal issues are  
 18 involved, including classification of the claimant's  
 19 alleged disability or his or her work experience  
 20 within the Secretary's guidelines or "grids" used  
 21 for determining claimant disability. *Deviation from*  
*the court's remand order in the subsequent*  
*administrative proceeding is itself legal error,*  
*subject to reversal on further judicial review.*

22 *Ischay*, 383 F.Supp.2d at 1214, citing *Sullivan v. Hudson*, 490 U.S.  
 23 877, 886 (1989)(emphasis added by *Ischay* court; citations  
 24 omitted).

25 Under the "law of the case" doctrine cited by the Commissioner,  
 26 "a court is generally precluded from reconsidering an issue that  
 27 has already been decided by the same court, or a higher court in  
 28 the identical case." *Thomas v. Bible*, 983 F.2d 152, 154 (9<sup>th</sup> Cir.  
 1993). The legal effect of the doctrine of the law of the case  
 depends upon whether the earlier ruling was made by a trial court  
 or an appellate court. All rulings of a trial court are subject to  
 revision at any time before the entry of judgment. A trial court

1 may not, however, reconsider a question decided by an appellate  
 2 court. *United States v. Houser*, 804 F.2d 565, 567 (9th Cir. 1986)  
 3 (emphasis in original); *Ischay*, 383 F.Supp.2d at 1214. In short,  
 4 the law of the case precludes re-litigation of issues settled by a  
 5 district court's order prior to remand. See *Holst v. Bowen*, 637  
 6 F.Supp. 145, 148 (E.D. Wash. 1986); see also *Hooper v. Heckler*,  
 7 752 F.2d 83, 87-88 (4<sup>th</sup> Cir. 1985)(finding that the ALJ violated  
 8 the rule of mandate<sup>4</sup>) by relitigating an issue decided by the  
 9 district court); *Pearson v. Chater*, No. C-95-1921-CAL, 1997 WL  
 10 314380, at \*3 (N.D. Cal. Feb. 20, 1997)(holding that, "[u]nder the  
 11 law of the case doctrine, this court will not re-examine its legal  
 12 decision that the ALJ had sufficient reasons to discount [a  
 13 medical expert's] testimony"), *aff'd*, 141 F.3d 1178 (9<sup>th</sup> Cir.  
 14 1998).

15 It would be error for this court to accept plaintiff's  
 16 invitation to reconsider whether the ALJ properly discounted Mr.  
 17 Davis's 2003 and 2004 opinions. The district court's order to  
 18 remand expressly affirmed the ALJ's germane reason for rejecting  
 19 Mr. Davis's "other source" opinions: they were "based on the  
 20 invalid diagnosis of polysubstance abuse in full remission." (Tr.  
 21 456). Under the law of the case doctrine, this court cannot as a  
 22 matter of law revisit the prior court's ruling. The court agrees  
 23 with the Commissioner's assertion that none of the three

24           <sup>4</sup>The court's orders are enforceable under the rule of  
 25 mandate, and the court's legal conclusions supply the law of the  
 26 case. Thus the so-called rule of mandate "presents a specific and  
 27 more binding variant of the law of the case doctrine." *Ischay*,  
 28 383 F.Supp.2d at 1214 (citations omitted). "When acting under an  
 decree as the law of the case[.]" *Id.*, citing *Vizcaino v. United  
 States District Court*, 173 F.3d 713, 719 (9<sup>th</sup> Cir. 1999).

1 exceptions to the law of the case doctrine are applicable in this  
 2 case (ECF No. 17 at 11-15, citing *Old Person v. Brown*, 312 F.3d  
 3 1036, 1039 (9<sup>th</sup> Cir. 2002), cert. denied, 540 U.S. 1016  
 4 (2003)(citations omitted)).

5 Even if the doctrines of the law of the case or the broader  
 6 rule of mandate do not apply to the 2006 and 2007 opinions, the  
 7 ALJ's current reason for discounting them is the same and again  
 8 germane. The ALJ points out Mr. Davis's more recent opinions  
 9 (which are essentially the same as those he previously asserted)  
 10 assume the same false premise, that DAA has been in full  
 11 remission for two years. The record supports the ALJ's germane  
 12 reason. Plaintiff tested positive for cocaine and opiates in  
 13 October 2006 (Exhibit 13F at 29). He told Dr. Flannegan he had a  
 14 cocaine binge six weeks before her February 2004 evaluation and  
 15 was drinking several times a week (Tr. 118). In addition, Dr.  
 16 McKnight's testimony at the latest hearing supports the ALJ's  
 17 rejection of Mr. Davis's opinion.

18       II. *Dr. Francis's opinion*

19 Plaintiff contends the ALJ erred when he weighed the opinion  
 20 of orthopedic surgeon Anthony Francis, M.D., the medical expert  
 21 who testified at the most recent hearing in 2008 (ECF No. 15 at  
 22 10-12, referring to Tr. 586-598, 604-613). The Commissioner  
 23 addresses this issue in the context of plaintiff's argument that  
 24 the ALJ should have found his knee impairment meets or medically  
 25 equals Listing 1.02A (ECF No. 17 at 16-18).

26 At the first hearing plaintiff's counsel stipulated that no  
 27 Listing is met or equaled (Tr. 404). Accordingly, this argument  
 28 was waived and is not properly before the court.

1       Even if the court considers the argument, it is unsupported  
 2 by Dr. Francis's testimony as a whole.

3       Dr. Francis wondered if the plaintiff's knee problem possibly  
 4 meets Listing 1.02A (Tr. 606). He notes plaintiff is described

5       "as having quite a bit of pain and instability in his  
 6 knee. And then for whatever reason he has an opportunity  
 7 to seek treatment and then doesn't. . . my general  
 8 feeling in this case is that we have a person with  
 9 a condition that is probably quite remedial and he's  
 10 not taking advantage of the remedy available to him,  
 11 which would be a possible orthopedic procedure. . .  
 12 I can do an RFC if you want --"

13 (Tr. 605-606).

14       He then opined a person who has chronic knee pain based on  
 15 what is described at times as ligament instability, and at other  
 16 times as a stable knee, has an RFC somewhere between medium and  
 17 light work (Tr. 606). Dr. Francis then assessed specific  
 18 limitations (Tr. 606-611) ultimately adopted by the ALJ. Plaintiff  
 19 incorrectly contends Dr. Francis opined plaintiff's knee  
 20 impairment meets or equals Listing 1.02A.

### 21       III. Plaintiff's credibility

22       To aid in weighing the conflicting medical evidence, the ALJ  
 23 evaluated plaintiff's credibility and found him less than fully  
 24 credible (Tr. 439), a finding Mr. Neuvirth does not challenge on  
 25 appeal. Credibility determinations bear on evaluations of medical  
 26 evidence when an ALJ is presented with conflicting medical  
 27 opinions or inconsistency between a claimant's subjective  
 28 complaints and diagnosed condition. See *Webb v. Barnhart*, 433 F.3d  
 683, 688 (9<sup>th</sup> Cir. 2005).

29       It is the province of the ALJ to make credibility  
 30 determinations. *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9<sup>th</sup> Cir.  
 31 1995). However, the ALJ's findings must be supported by specific  
 32 ORDER GRANTING DEFENDANT'S MOTION  
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1 cogent reasons. *Rashad v. Sullivan*, 903 F.2d 1229, 1231 (9<sup>th</sup> Cir.  
 2 1990). Once the claimant produces medical evidence of an  
 3 underlying medical impairment, the ALJ may not discredit testimony  
 4 as to the severity of an impairment because it is unsupported by  
 5 medical evidence. *Reddick v. Chater*, 157 F.3d 715, 722 (9<sup>th</sup> Cir.  
 6 1998). Absent affirmative evidence of malingering, the ALJ's  
 7 reasons for rejecting the claimant's testimony must be "clear and  
 8 convincing." *Lester v. Chater*, 81 F.3d 821, 834 (9<sup>th</sup> Cir. 1995).  
 9 "General findings are insufficient: rather the ALJ must identify  
 10 what testimony is not credible and what evidence undermines the  
 11 claimant's complaints." *Lester*, 81 F.3d at 834; *Dodrill v.*  
 12 *Shalala*, 12 F.3d 915, 918 (9<sup>th</sup> Cir. 1993).

13 The ALJ relied on inconsistent statements, test scores  
 14 indicative of exaggeration, and an unexplained lack of treatment  
 15 when he found plaintiff less than credible (Tr. 439-440).

16 In October 2007 plaintiff told examining psychologist John  
 17 McRae, Ph.D., he last used cocaine in 2003 (Tr. 564) but a year  
 18 earlier, in October 2006, a drug screen was positive for cocaine  
 19 and opiates. Immediately before the 2006 drug test plaintiff again  
 20 denied drug use (Tr. 520, 522). Dr. McKnight pointed out these  
 21 inconsistencies (Tr. 615).

22 Dr. McRae opined plaintiff's scores on the MMPI-2 were very  
 23 likely invalid based on his extremely high score, and indicate  
 24 exaggeration of symptomology or a plea for help. He opined Mr.  
 25 Neuvirth's scores on the M-FAST<sup>5</sup> are "highly suggestive of  
 26 malingered psychopathology" (Tr. 566).

27 Plaintiff has, without adequate explanation, failed to pursue  
 28

<sup>5</sup>Miller Forensic Assessment of Symptoms Test.  
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1 treatment for physical or mental conditions. The VA terminated  
2 services sometime before October 2004 due to chronic cocaine and  
3 alcohol use. A treating psychiatrist at the VA notes in 2004 and  
4 2005 plaintiff failed to show for numerous appointments. The VA  
5 scheduled plaintiff for an orthopedic consultation in Seattle but  
6 he failed to keep the appointment. As the ALJ correctly points  
7 out, VA records show that by May 2006 plaintiff had not been seen  
8 on a regular basis for any medical condition for nearly two years  
9 (Tr. 439-440; Exhibits 4F, 8F, 9F and 13F).

10 Counsel asserts plaintiff was unable to seek medical  
11 attention because he was incarcerated. Plaintiff's unreliable  
12 reporting provides an inadequate explanation for the lack of  
13 treatment. Plaintiff stated he was incarcerated for 16 months for  
14 robbery, and served his time just prior to July 12, 2006 (Tr.  
15 538). Records show plaintiff failed to appear at a mental health  
16 intake appointment on August 16, 2006, apparently after his  
17 release (Tr. 535).

18 The ALJ's reasons for finding plaintiff less than fully  
19 credible are clear, convincing, and fully supported by the record.  
20 See *Thomas v. Barnhart*, 278 F.3d 947, 958-959 (9<sup>th</sup> Cir. 2002)  
21 (proper factors include inconsistencies in plaintiff's statements,  
22 inconsistencies between statements and conduct, and extent of  
23 daily activities). Noncompliance with medical care or unexplained  
24 or inadequately explained reasons for failing to seek medical  
25 treatment cast doubt on a claimant's subjective complaints. *Fair*  
26 *v. Bowen*, 885 F.2d 597, 603 (9<sup>th</sup> Cir. 1989).

27 The ALJ is responsible for reviewing the evidence and  
28 resolving conflicts or ambiguities in testimony. *Magallanes v.*

1 *Bowen*, 881 F.2d 747, 751 (9<sup>th</sup> Cir. 1989). It is the role of the  
2 trier of fact, not this court, to resolve conflicts in evidence.  
3 *Richardson*, 402 U.S. at 400. The court has a limited role in  
4 determining whether the ALJ's decision is supported by substantial  
5 evidence and may not substitute its own judgment for that of the  
6 ALJ, even if it might justifiably have reached a different result  
7 upon de novo review. 42 U.S.C. § 405 (g).

8 The ALJ gave clear and convincing reasons for his  
9 unchallenged credibility assessment, and it is supported by  
10 substantial evidence.

11 The ALJ's assessment of the medical opinions and plaintiff's  
12 credibility are both supported by the record and free of legal  
13 error.

14 **CONCLUSION**

15 Having reviewed the record and the ALJ's conclusions, this  
16 court finds that the ALJ's decision is free of legal error and  
17 supported by substantial evidence.

18 **IT IS ORDERED:**

19 1. Defendant's Motion for Summary Judgment (**ECF No. 16**) is  
20 **granted**.

21 2. Plaintiff's Motion for Summary Judgment (**ECF No. 14**) is  
22 **denied**.

23 The District Court Executive is directed to file this Order,  
24 provide copies to counsel for the parties, enter judgment in favor  
25 of defendant, and **CLOSE** this file.

26 DATED this 20th day of June, 2011.

27 s/ James P. Hutton

28 JAMES P. HUTTON  
UNITED STATES MAGISTRATE JUDGE

ORDER GRANTING DEFENDANT'S MOTION  
FOR SUMMARY JUDGMENT

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ORDER GRANTING DEFENDANT'S MOTION  
FOR SUMMARY JUDGMENT

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